

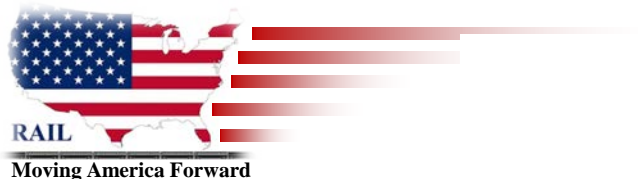


U.S. Department  
of Transportation

**Federal Railroad  
Administration**

# Training, Qualification, and Oversight for Safety-Related Railroad Employees

## Part 243 Implementation Update



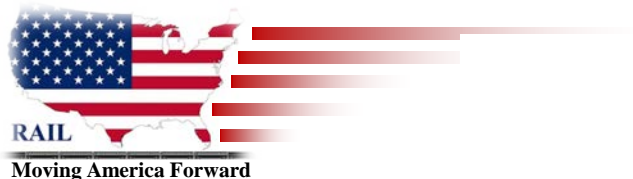
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Administration**

# Agenda

- Implementation dates
  - Employers (400k or more employee hours annually)
  - Employers (less than 400k annually)
  - TO/LI
  - Designation of existing employees
- Initial program submission
  - What am I supposed to submit?
  - What don't I have to submit?
- Periodic oversight
- Annual reviews
- Summarize compliance guide updates
- Part 243 Petition status
- Open discussion

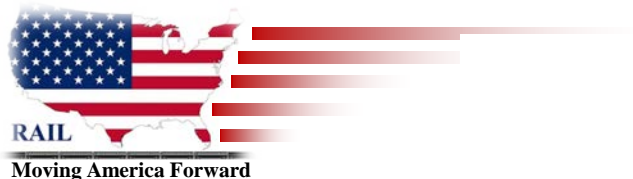


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# Implementation Dates

- Large employers - January 1, 2020
  - Program approved upon submission
  - New employees generally trained to programs in place at that time
- TO/LI – January 1, 2020
  - Must have programs approved by FRA by 1/1/2020
- Declare occupational category of employees by September 1, 2020

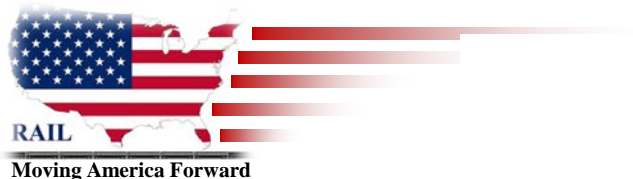


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# Implementation Dates - *Continued*

- Smaller employers – May 1, 2021
  - Program approved upon submission
  - New employees generally trained to programs in place at that time
- Declare occupational category of employees by January 1, 2022



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# Program Submission

## What do I submit?

- Employers
  - Course syllabus
  - OJT documents
- TO/LO
  - Course syllabus
  - OJT documents (if applicable)
  - Resume(s)
  - List of references

*See pages 53 – 57 in Compliance Guide*



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# What don't I submit?

- Employers only
  - Anything that already has a regulatory training mandate (e.g. Part 214, 232, 238, etc.) See 2019 Compliance Guide for more details pages 8 – 11
- **TO/LI** must submit all programs to FRA for review and approval

# Periodic Oversight

- Applies to all employers
- The focus is on FRA regulations pertaining to workgroup safety
- Does not include T&E
- Oversight begins January 1, 2020
- May combine with operational testing Part 217
- Be aware of contractor oversight provisions
  - 15 or more employees
  - Trains its own employees
  - Qualified supervisors to conduct oversight



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# Annual Reviews

- Applies to large railroads only
  - First annual review due by September 1, 2021
- Can be combined with review required under Part 217
- Possible data review sources
  - Operational testing/periodic oversight results
  - Accident/incident data
  - FRA inspection data
  - Employee training feedback
  - Labor feedback



# Guide Updates

<p><b>Similar Training Programs Not Requiring Part 243 Submission</b> – Detailed chart listing all FRA regulations by subsection not requiring submission under Part 243.</p>	8 - 11
<p><b>Section-by-Section Review / 49 CFR Section 243.107, <i>Training program submission, introductory information required</i></b> – Shortened the guidance section to reflect the simplification and consolidation of the information required at the Web portal.</p>	17 - 18
<p><b>Section-by-Section Review / 49 CFR Section 243.201, <i>Employee qualification requirements</i></b> – Added two 49 CFR Parts that require refresher training.</p>	22 - 23
<p><b>Frequently Asked Questions / Scope of Part 243 – Training Programs Required by Other FRA Regulations</b> – Expanded answers in two questions to reflect FRA’s position on existing training programs not requiring submission under Part 243.</p>	29 - 30



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# Guide Updates Continued

<b>Frequently Asked Questions / On-the-Job Training &amp; Designated Instructors</b> – Added “Designated Instructors” to the subheading and three new FAQs regarding designations.	32 - 33
<b>Frequently Asked Questions / Contractor Compliance</b> – Added new FAQ to address instances where railroad trains contractor employees and what contractor responsibilities are in terms of Part 243 submissions. Also added new FAQ to address Web-based RWP training.	46 - 47
<b>Frequently Asked Questions / Plant/Port Railroads</b> – Added new FAQ to address newly regulated plant/port railroads.	50

# Petition Docket

- FRA established DOT docket number **FRA-2019-0050**. It can be found by typing the docket number in the search box on [www.regulations.gov](http://www.regulations.gov) or <https://www.regulations.gov/docket?D=FRA-2019-0050>
- FRA is considering delaying some implementation dates as well as codifying certain compliance guide language



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# New ASLRRRA/NRC Part 243 Petition

## June 28, 2019 joint petition filed

- Seeking various changes to Part 243;
  - 1) *Reclassify entities by type instead of AEH*
  - 2) *Definitions*
  - 3) *Eliminate training program content requirements*
  - 4) *Eliminate model program use notification to FRA*
  - 5) *Portal complexity*
  - 6) *New employees*
  - 7) *Refresher training too expensive*
  - 8) *Eliminate training records requirement*
  - 9) *Simplify or eliminate oversight*
  - 10) *No annual reviews*
  - 11) *Delay implementation dates two years*

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# Additional Resources

<https://www.fra.dot.gov/Page/P1023>

- FRA Public Website – Training Rule
  - OJT Matrix XLS Spreadsheet
  - OJT Task Standards
  - Part 243 Compliance Guide
  - Sample Courses

## Training Rule Resource Documents

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- ▶ Maintenance of Equipment Training Documents
- ▶ Passenger Equipment Safety Standards
- ▶ Brake System Safety Standards for Freight
- ▶ Engineering/Track Maintenance Training Documents
- ▶ Signal and Train Control Fundamentals
- ▶ Operating Practices Training Documents
- ▶ OJT Templates
- ▶ OJT Matrix

# Questions?

[Part243Questions@dot.gov](mailto:Part243Questions@dot.gov)

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